

# Part 2 Adviser Profile

## James Crompton

Date of completion of Adviser Profile  
1 July 2021, Version 1

There are two parts to the Financial Services Guide (FSG). This Adviser Profile forms Part two of your FSG and should be read in conjunction with Part one before making any decisions.

### Introducing your financial adviser

James Crompton and Northern Plateau Pty Ltd T/A Veschetti-Crompton Financial Advisers are Authorised Representatives of Northern Plateau Wealth Management Pty Ltd (NPWM), ABN 12 645 462 559, AFSL 528562

Suite 3, 154 Robert Street, Atherton QLD 4883

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James commenced his Atherton financial planning practice in 1989 after a career in banking.

#### Authorisations

- James Crompton ASIC Authorisation Number 239737.
- Veschetti-Crompton Financial Advisers ASIC Authorisation Number 464755

#### Qualifications and memberships

- Diploma of Financial Planning
- Commissioner for Declarations

### How is your financial adviser paid?

The fees and commissions payable to Veschetti-Crompton Financial Advisers are explained in detail in part one of the FSG and below.

Northern Plateau Wealth Management Pty Ltd may retain a percentage of these fees and commissions and then pay the balance to Veschetti-Crompton Financial Advisers, which engages your adviser to provide financial services. Of the amount received by Veschetti-Crompton Financial Advisers from Northern Plateau Wealth Management Pty Ltd, your adviser is paid a salary and may receive bonuses.

### Other benefits?

Apart from the "Other benefits" section disclosed in part one, there are no other benefits received or relationships that may influence the recommendation.

### Financial products and services

James Crompton is authorised by Northern Plateau Wealth Management Pty Ltd to provide financial product advice to wholesale and retail clients on the following classes of products:

#### Deposit and payment products

#### Government-issued debentures, stocks or bonds

#### Life investment or Life risk products

#### Interests in managed investment schemes including:

- Investor directed portfolio services (IDPS)
- master trusts, wrap facilities, property funds

#### Retirement savings accounts

#### Securities including:

- Active direct shares
- Exchange Traded Funds

#### Standard margin lending facilities

#### Superannuation, including:

- public offer superannuation funds
- account-based pensions and complying annuities
- corporate superannuation funds
- self-managed superannuation funds

James Crompton is also a registered tax (financial) adviser and is authorised to provide a tax (financial) service, where the advice is:

- provided in the context of the personal advice authorised by Northern Plateau Wealth Management Pty Ltd, and
- part of the financial advice which interprets and applies the tax laws (including tax, superannuation and SMSF laws) to your personal circumstances.

Any tax agent services that are provided, including the preparation and filing of tax returns, liaison with the ATO etc, are not provided under NPWM's AFSL and are not covered by this FSG. They should be treated as a separate business activity.